

# Face Recognition Using Support Vector Machine (SVM) and Backpropagation Neural Network (BNN) Methods to Identify Gender on Student Identity Cards

Pius Deski Manalu<sup>1</sup>, Hartono<sup>2</sup>, Zakarias Situmorang<sup>3</sup>

JL. KL. Yos Sudarso Km. 6,5 No. 3-A,

Tj. Mulia, Kec. Medan Deli, Kota Medan, Sumatera Utara 20241

[1piusdeski@gmail.com](mailto:piusdeski@gmail.com)

[2hartonoibbi@gmail.com](mailto:hartonoibbi@gmail.com)

[3zakarias65@yahoo.com](mailto:zakarias65@yahoo.com)

**Abstract**— Until now, many people continue to explore studies on facial recognition, as reflected in the advancements of Computer Vision technology implemented in various real-life applications. This research aims to identify a person's face based on characteristics or gender features found on student identity cards at a university. The method employed involves a data science or machine learning approach, using the SEMMA model (Sample, Explore, Modify, Model, and Assess) with the application of two algorithms, namely Support Vector Machine (SVM) and Backpropagation Neural Network (ANN). This modeling is further reinforced by pre-processing using Principal Component Analysis (PCA) to reduce the dimensions of various image features to selected features. The research results indicate improved performance, with accuracy reaching 77.50% for the SVM algorithm and 78.10% for ANN. This performance is superior to previous studies that did not involve dimension reduction techniques using PCA.

**Keywords**— support vector machine, backpropagation neural network

## I. INTRODUCTION

Face recognition is the process of identifying individuals based on the visualization of their faces. Currently, with the advancement of digital technology, accessing images and videos can be easily done[1].

Human face recognition using facial recognition techniques has become an integral part of research activities in the field of computer vision[2]. The facial recognition process can be divided into two stages: feature extraction and the comparison of extracted features using various methods[3]. Feature extraction can be achieved by reducing the dimensionality of data, one of which is through techniques such as Principle Component Analysis (PCA), Independent Component Analysis (ICA), and Linear Discriminant Analysis (LDA)[4]. PCA is a statistical measurement method that reduces the dimensions of images in data space to smaller dimensions[5].

Several studies have been conducted regarding the use of image dimension reduction techniques with PCA, especially when implemented in machine learning algorithm models such

as Support Vector Machine (SVM), Back Propagation Neural Network (BPNN), and Artificial Neural Network (ANN)[6]. For instance, in a particular study, the use of PCA in a facial recognition system improved the average accuracy to 75.6% [6]. Another study also indicated that the application of PCA to the Support Vector Machine (SVM) algorithm for facial recognition increased accuracy by 15% after using PCA to reduce image dimensions[7].

Based on related research, it can be concluded that image dimension reduction techniques with PCA contribute positively to the proposed algorithm model[8]. Therefore, the aim of this study is to implement a machine learning model using artificial neural network (ANN) and Support Vector Machine (SVM) algorithms, involving PCA dimension reduction techniques, to identify Student Identity Cards at a university[9]. The expected outcome is an improvement over previous research.

## II. METHOD

In this study, the method applied utilizes the data science methodology approach called SEMMA, which involves the steps of Sample, Explore, Modify, Model, and Assess, as illustrated in Figure 1.

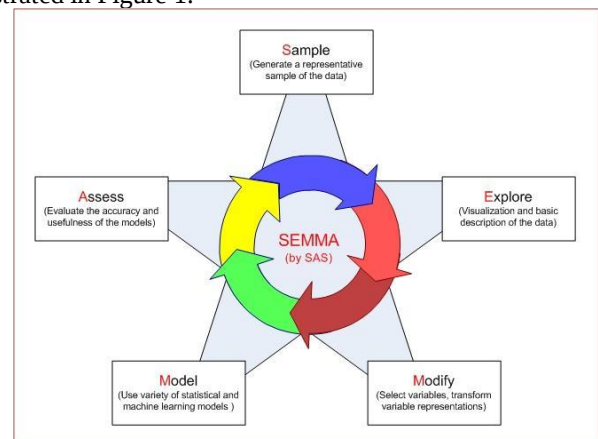


Figure 1: SEMMA Methodology

This method, as its name implies, involves a series of cyclic activities. The "sample" phase involves data extraction to obtain a sufficient dataset, allowing significant information to be obtained without becoming overly complex for further processing[5]. The "explore" phase is conducted to delve into the data, searching for trends and anomalies to gain a deeper understanding. "Modify" encompasses the modification of data by creating, selecting, and transforming variables for the modeling process. The "model" phase entails creating a model from the data by automatically seeking combinations that can be used for predictions[10]. "Asses" involves evaluating the patterns found to determine their usefulness and reliability. In general, the block diagram of the proposed system can be seen in Figure 2.

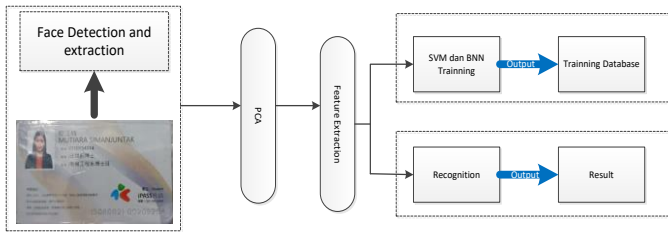


Figure 2. Blok Diagram Sistem Pengenalan Wajah PCA + SVM & BNN

### 2.1. Dataset

The dataset consists of 324 images in the primary dataset format obtained directly from the research location, namely the Student Affairs Department of AMIK Universal. This dataset comprises files of student identity cards with JPEG extensions (Figure 3).



Figure 3. Image Pra Processing

Subsequently, the images of these Student Identity Cards (KTM) are cropped to capture only the facial area. After cropping, the process transforms the RGB color images into black and white, and further processing adjusts their

dimensions and resolution. Table 1 provides detailed explanations of the features of images with specific sizes.

Table 1. Features of Images in Detail

| Fitur                 | Ukuran    |
|-----------------------|-----------|
| Dimension             | 28 x 28   |
| Weight                | 28 pixels |
| Height                | 28 pixels |
| Horizontal Resolution | 64 dpi    |
| Vertical Resolution   | 64 dpi    |
| Bit Depth             | 24        |

### 2.2. Principle Component Analysis (PCA)

Principle Component Analysis (PCA) is a data analysis technique employed in statistics and is currently widely used in data science. Its objective is to condense large-scale multivariate data tables into smaller sets of variables, commonly referred to as dimensional reduction. The stages of PCA can be seen in figure 4:

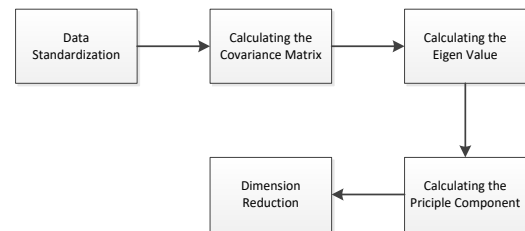


Figure 4. PCA Process Stages

### 2.3. Algoritma Backpropagation Neural Network (BNN)

In computer vision, robust classification methods are essential to achieve high levels of recognition within constrained time and computational resources. One such method is the Backpropagation Neural Network (BNN). BNN classification is widely utilized for training neural networks due to its simplicity, efficiency in calculating gradient descent, and ease of implementation. Determining neuron size, sample quantity, and weights poses a challenge in the BNN process, and fine-tuning the output neuron is crucial.

BNNs consist of an input layer, one or more hidden layers, and an output layer. The number of neurons in each layer and the connections between them determine the network's architecture. During the forward pass, input data is fed through the network, and activations are computed layer by layer until the output layer is reached. The output is compared to the true labels using a loss function, such as mean squared error for regression tasks or cross-entropy for classification tasks.

In the backward pass (backpropagation), the gradient of the loss function with respect to each weight is calculated by applying the chain rule. Gradients are propagated backward from the output layer to the input layer, adjusting weights to minimize the loss. This process is repeated iteratively, and the

algorithm converges to a set of weights that minimize the loss function.

Non-linear activation functions, such as Sigmoid, Tanh, and ReLU, are applied at each neuron to introduce non-linearity, allowing the network to learn complex patterns. The choice of activation function can impact the network's performance and convergence speed. Hyperparameters such as learning rate, number of hidden layers, number of neurons per layer, batch size, and epochs need to be carefully selected and tuned. Grid search, random search, and more sophisticated methods like Bayesian optimization can be used for hyperparameter tuning.

Overfitting is a common challenge in neural networks, where the model performs well on training data but poorly on unseen data. Regularization techniques such as dropout, L1/L2 regularization, and data augmentation can help prevent overfitting. While gradient descent is a fundamental optimization technique, variants like Stochastic Gradient Descent (SGD), Adam, RMSprop, and AdaGrad are often used to improve convergence speed and stability.

Determining the optimal network architecture, such as the number of layers and neurons, requires experimentation and domain knowledge. Proper initialization of weights, usually with techniques like Xavier or He initialization, is crucial for effective training. Monitoring training and validation loss can help detect overfitting and underfitting, guiding the tuning process.

BNNs are widely used in various computer vision tasks due to their effectiveness in learning from visual data. In image classification, BNNs can classify images into predefined categories, such as recognizing objects in photographs or medical images. Beyond classification, BNNs can also be used in object detection frameworks like YOLO (You Only Look Once) and R-CNN (Region-based Convolutional Neural Networks) to locate objects within images. BNNs can segment images into regions of interest, which is essential for applications like autonomous driving, where identifying road boundaries, vehicles, and pedestrians is critical.

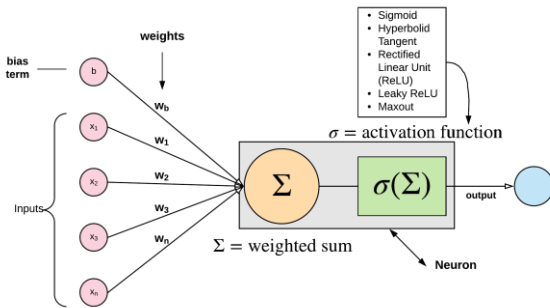


Figure 5. Architecture BNN

## 2.4. Support Vector Machine (SVM)

Support Vector Machine (SVM) is a supervised learning method commonly used for classification. SVM operates by searching for the optimal hyperplane, maximizing the distance between classes. Figure 6 illustrates the hyperplane, which functions as a separator between classes.

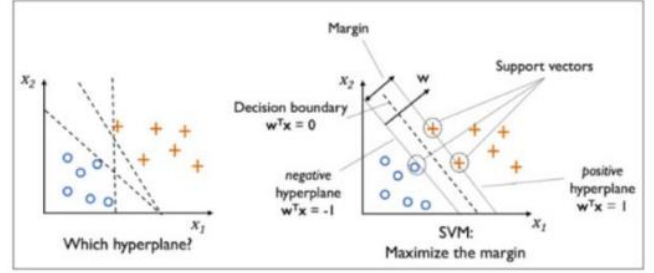


Figure 6. Hyperplane separating the two classes positive (+1) and negative (-1)

The SVM-discovered hyperplane, as depicted in the above image, is positioned in the middle between two classes. This indicates that the distance between the hyperplane and data objects differs for closely positioned (outer) classes marked with empty circles and positives. In SVM, the outermost data objects closest to the hyperplane are referred to as support vectors.

which is the distance between the hyperplane and the nearest data points from each class. These nearest data points, referred to as support vectors, are critical as they define the position and orientation of the hyperplane.

The distance between the hyperplane and these support vectors is crucial because it reflects the robustness of the classification. A larger margin implies a better generalization of the model. In the image mentioned, the classes are represented with empty circles and positive markers, indicating that the outermost data objects (the support vectors) are closest to the hyperplane. These support vectors are the key elements in SVM as they directly influence the hyperplane's location and thus the classification outcome.

## 2.5. Tools used

To prove the results of this study, the **Orange Data Mining Version 3.2 tool** is used which is equipped with add-ons on Image Analytic which functions as a library to process image data.

## III. RESULT

In this section, the results of experiments that have been carried out will be implemented from the stages of data preparation, modeling and modeling evaluation.

### 3.1. Data Preparation

Through the import images facility, orange data mining calls data that has been prepared based on gender categories, namely Male and Female, as in the step display in figure 7.

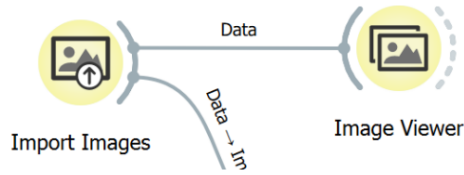


Figure 7. Import Images to call data

Furthermore, the data that has been called can be displayed through the image viewer, so that the results are as shown in figure 8.



Figure 8. Face View using Image Viewe

In order for data to be read through numerical data, orange data mining has an encoding facility involving Image Embedding operators. Figure 9 shows the Embedding Technique using embedded inception 3 architecture.

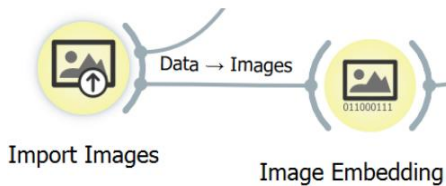


Figure 9. Image Embedding with Inception 3 Architecture

The results of the embedding are processed through the PCA operator to reduce the dimensions of the feature by taking two principle components, so that selected features are obtained as in table 2, figure 10 and figure 11.

Table 2. Selection of Fitur with PCA

|   | category  | Image name     | Image              | size | width | height | PC1      | PC2       |
|---|-----------|----------------|--------------------|------|-------|--------|----------|-----------|
| 1 | Laki Laki | 31010140e copy | Laki Laki/31010... | 9302 | 28    | 28     | -14.591  | -0.131903 |
| 2 | Laki Laki | 31190001 copy  | Laki Laki/31190... | 9183 | 28    | 28     | -19.7074 | 6.27686   |
| 3 | Laki Laki | 31190002 copy  | Laki Laki/31190... | 9337 | 28    | 28     | -17.2239 | 1.7753    |
| 4 | Laki Laki | 31190004 copy  | Laki Laki/31190... | 9138 | 28    | 28     | -18.9142 | -0.318818 |
| 5 | Laki Laki | 31190005 copy  | Laki Laki/31190... | 9269 | 28    | 28     | -8.85618 | 1.61283   |

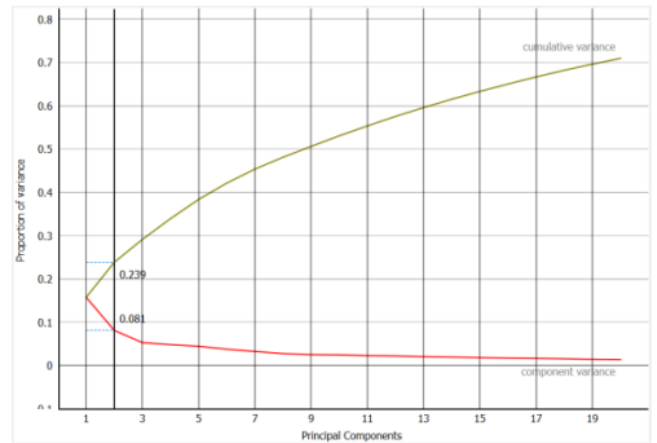


Figure 10. PCA Graphics

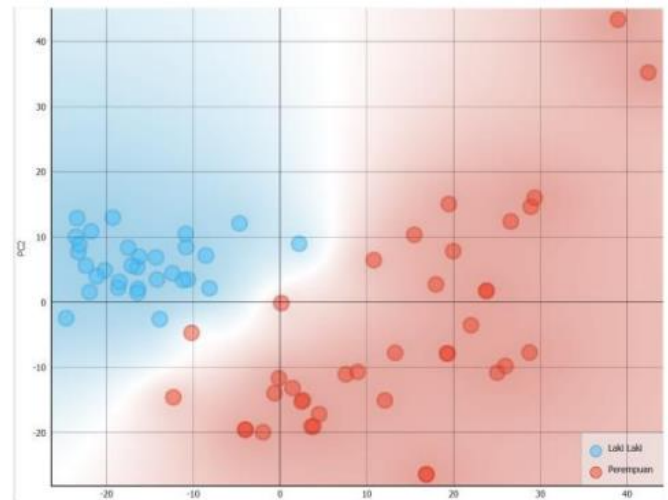


Figure 11. Feature Selection Spread Graph with PCA

### 3.2. building models

After doing data preparation and the data is ready to be modeled, modeling is carried out with classification algorithms, namely Bacpropagation Neural Network (BNN) and Support Vector Machine (SVM) (figure 12).

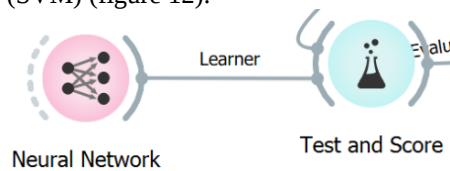


Figure 12. BNN Modeling Design

In the modeling, the parameters used are the number of neurons in layer 10, the activation function using ReLu, and the optimum parameter Adam.

Modeling using the Support Vector Machine classification algorithm can be seen in the design figure 13

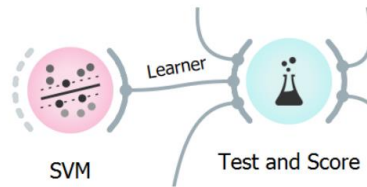


Figure 13. SVM Modeling Design

The parameters covered in SVM itself are SVM Const (C) 1.00, Regression loss epsilon 0.10 with the optimization parameter is numerical tolerance of 0.0010. So that the test results and scores from both modeling can be displayed as in table 3.

Table 3. SVM and BNN Model Development

| Model | AUC   | CA    | F1    | Preccission | Recall |
|-------|-------|-------|-------|-------------|--------|
| SVM   | 0.848 | 0.775 | 0.775 | 0.775       | 0.775  |
| ANN   | 0.861 | 0.781 | 0.781 | 0.783       | 0.781  |

From table 3, it can be explained that the Neural Network algorithm model has a better accuracy rate than the SVM algorithm model, which is 78.10%, as well as the Precision and Recall values and F1-score.

### 3.3. Model Evaluation

Model evaluation is carried out by measuring the performance of the model that has been built through the Confusion Matrix table. Confusion matrices present tables to measure the performance of classification models. The confusion matrix will display and compare the actual value with the value of the model prediction results that can be used to calculate evaluation metrics including Accuracy, Precision, Recall and F1-Score.

Table 4. Confusion Matrix Model SVM

|          |           | Predicted |           | $\Sigma$ |
|----------|-----------|-----------|-----------|----------|
|          |           | Laki-Laki | Perempuan |          |
| Actual   | Laki-Laki | 74.8%     | 20.1%     | 150      |
|          | Perempuan | 25.2%     | 79.9%     | 174      |
| $\Sigma$ |           | 155       | 169       | 324      |

Table 5. Confusion Matrix Model BNN

|          |           | Predicted |           | $\Sigma$ |
|----------|-----------|-----------|-----------|----------|
|          |           | Laki-Laki | Perempuan |          |
| Actual   | Laki-Laki | 74.8%     | 18.8%     | 150      |
|          | Perempuan | 25.2%     | 81.2%     | 174      |
| $\Sigma$ |           | 159       | 165       | 324      |

Based on tables 4 and 5, the male sex prediction value in the SVM algorithm is higher (by 20.1%) than the male sex prediction value in the BNN algorithm. However, the female sex prediction value on the SVM algorithm was smaller (by 79.9%) than the female sex prediction value on the BNN algorithm.

## IV. CONCLUSIONS

The experimental results on the dataset of facial images from student identity cards, involving PCA dimension reduction technique and modeling with SVM and Neural Network, conclude that there is an improvement in the performance of the developed model compared to the model without PCA for dimension reduction. This indicates that the model design is already quite effective. However, it's important to note that there is still room for maximum improvement in this model. Therefore, future research can focus on enhancing this classification model by implementing deep learning techniques. Additionally, the role of preprocessing steps is crucial, considering the numerous features generated in image objects. Thus, it is expected that applying optimal preprocessing and feature selection techniques will have a positive impact on the performance of the constructed model.

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